



*DPW*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): HERBERGER et al.

Serial No.: 10/647,494

Filed: 8/25/2003

Title: SYSTEM AND METHOD FOR  
GENERATING SOUND  
TRANSITIONS IN A SURROUND  
ENVIRONMENT

Group Art Unit: 2644

Examiner: Unknown

Confirmation No.: 2370

Attorney Docket No.: 57612/03-261

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

☒ Applicant submits herewith Form PTO 1449-Information Disclosure Citation. Since the Patent Office is waiving the requirement under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. Patent and each U.S. patent publication for all U.S. national patent applications

filed after June 30, 2003, applicant is not enclosing the U.S. patent references. However, applicant *is* enclosing a copy of the abstract of the Japanese patent No. JP10083190.

Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or the correspondence is being facsimile transmitted to the USPTO, on the date indicated below.

P Date of Deposit: 5-27-2004

Typed Name: CAROL WELCH

Signature: Carol Welch

Terry L. Watt  
Terry L. Watt

Attorney/Agent for Applicant(s)

Reg. No. 42214

Date: 5/27/04

Telephone No.: 918/599-0621

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

~~Substitute for form 1040-V~~

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary )

**Complete if Known**

Application Number	10/647,494
Filing Date	8/25/2003
First Named Inventor	HERBERGER et al.
Art Unit	2370
Examiner Name	
Attorney Docket Number	57612/03-261

Sheet	1	of	1
-------	---	----	---

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of US PTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**